

**PART 232—BRAKE SYSTEM SAFETY
STANDARDS for FREIGHT and
OTHER NON-PASSENGER TRAINS
and EQUIPMENT; END-of-TRAIN
DEVICES**

Subpart A—General

Sec.

- 232.1 Scope.
- 232.3 Applicability.
- 232.5 Definitions.
- 232.7 Waivers.
- 232.9 Responsibility for compliance.
- 232.11 Penalties.
- 232.13 Preemptive effect.
- 232.15 Movement of defective equipment.
- 232.17 Special approval procedure.
- 232.19 Availability of records.
- 232.21 Information collection.

Subpart B—General Requirements

- 232.101 Scope.
- 232.103 General requirements for all train brake systems.
- 232.105 General requirements for locomotives.
- 232.107 Air source requirements and cold weather operations.
- 232.109 Dynamic brake requirements.
- 232.111 Train handling information.

**Subpart C—Inspection and Testing
Requirements**

- 232.201 Scope.
- 232.203 Training requirements.
- 232.205 Class I brake tests—initial terminal inspection.
- 232.207 Class IA brake tests—1,000-mile inspection.
- 232.209 Class II brake tests—intermediate inspection.
- 232.211 Class III brake tests—trainline continuity inspection.
- 232.213 Extended haul trains.
- 232.215 Transfer train brake tests.
- 232.217 Train brake tests conducted using yard air.
- 232.219 Double heading and helper service.

**Subpart D—Periodic Maintenance and
Testing Requirements**

- 232.301 Scope.
- 232.303 General requirements.
- 232.305 Single car air brake tests.
- 232.307 Modification of the single car air brake test procedures.
- 232.309 Equipment and devices used to perform single car air brake tests.

Subpart E—End-of-Train Devices

- 232.401 Scope.

- 232.403 Design standards for one-way end-of-train devices.
- 232.405 Design and performance standards for two-way end-of-train devices.
- 232.407 Operations requiring use of two-way end-of-train devices; prohibition on purchase of nonconforming devices.
- 232.409 Inspection and testing of end-of-train devices.

**Subpart F—Introduction of New Brake
System Technology**

- 232.501 Scope.
- 232.503 Process to introduce new brake system technology.
- 232.505 Pre-revenue service acceptance testing plan.

APPENDIX A TO PART 232—SCHEDULE OF CIVIL PENALTIES

APPENDIX B TO PART 232—PART 232 PRIOR TO MAY 31, 2001 AS CLARIFIED EFFECTIVE APRIL 10, 2002

AUTHORITY: 49 U.S.C. 20102–20103, 20107, 20133, 20141, 20301–20303, 20306, 21301–21302, 21304; 28 U.S.C. 2461, note; and 49 CFR 1.49.

SOURCE: 66 FR 4193, Jan. 17, 2001, unless otherwise noted.

Subpart A—General

§ 232.1 Scope.

(a) This part prescribes Federal safety standards for freight and other non-passenger train brake systems and equipment. Subpart E of this part prescribes Federal safety standards not only for freight and other non-passenger train brake systems and equipment, but also for passenger train brake systems. This part does not restrict a railroad from adopting or enforcing additional or more stringent requirements not inconsistent with this part.

(b) Except as otherwise specifically provided in this paragraph or in this part, railroads to which this part applies shall comply with all the requirements contained in subparts A through C and subpart F of this part beginning on April 1, 2004. Sections 232.1 through 232.13 and 232.17 through 232.21 of this part will become applicable to all railroads to which this part applies beginning on May 31, 2001. Subpart D of this part will become applicable to all railroads to which this part applies beginning on August 1, 2001. Subpart E of this part will become applicable to all trains operating on track which is part

of the general railroad system of transportation beginning on May 31, 2001.

(c) A railroad may request earlier application of the requirements contained in subparts A through C and subpart F of this part upon written notification to FRA's Associate Administrator for Safety. Such a request shall indicate the railroad's readiness and ability to comply with all of the requirements contained in those subparts.

(d) Except for operations identified in § 232.3(c)(1), (c)(4), and (c)(6) through (c)(8), all railroads which are part of the general railroad system of transportation shall operate pursuant to the requirements contained in this part 232 as it existed on May 31, 2001 and included as Appendix B to this part until they are either required to operate pursuant to the requirements contained in this part or the requirements contained in part 238 of this chapter or they elect to comply earlier than otherwise required with the requirements contained in this part or the requirements contained in part 238 of this chapter.

[66 FR 4193, Jan. 17, 2001, as amended at 66 FR 9906, Feb. 12, 2001]

§ 232.3 Applicability.

(a) Except as provided in paragraphs (b) and (c) of this section, this part applies to all railroads that operate freight or other non-passenger train service on standard gage track which is part of the general railroad system of transportation. This includes the operation of circus trains and private cars when hauled on such railroads.

(b) Subpart E of this part, "End-of-Train Devices," applies to all trains operating on track which is part of the general railroad system of transportation unless specifically excepted in that subpart.

(c) Except as provided in § 232.1(d) and paragraph (b) of this section, this part does *not* apply to:

(1) A railroad that operates only on track inside an installation that is not part of the general railroad system of transportation.

(2) Intercity or commuter passenger train operations on standard gage track which is part of the general railroad system of transportation;

(3) Commuter or other short-haul rail passenger train operations in a metropolitan or suburban area (as described by 49 U.S.C. 20102(1)), including public authorities operating passenger train service;

(4) Rapid transit operations in an urban area that are not connected with the general railroad system of transportation;

(5) Tourist, scenic, historic, or excursion operations, whether on or off the general railroad system;

(6) Freight and other non-passenger trains of four-wheel coal cars;

(7) Freight and other non-passenger trains of eight-wheel standard logging cars if the height of each car from the top of the rail to the center of the coupling is not more than 25 inches; or

(8) A locomotive used in hauling a train referred to in paragraph (c)(7) of this subsection when the locomotive and cars of the train are used only to transport logs.

(d) The provisions formerly contained in Interstate Commerce Commission Order 13528, of May 30, 1945, as amended, now revoked, are codified in this paragraph. This part is not applicable to the following equipment:

(1) Scale test weight cars.

(2) Locomotive cranes, steam shovels, pile drivers, and machines of similar construction, and maintenance machines built prior to September 21, 1945.

(3) Export, industrial, and other cars not owned by a railroad which are not to be used in service, except for movement as shipments on their own wheels to given destinations. Such cars shall be properly identified by a card attached to each side of the car, signed by the shipper, stating that such movement is being made under the authority of this paragraph.

(4) Industrial and other than railroad-owned cars which are not to be used in service except for movement within the limits of a single switching district (i.e., within the limits of an industrial facility).

(5) Narrow-gage cars.

(6) Cars used exclusively in switching operations and not used in train movements within the meaning of the Federal safety appliance laws (49 U.S.C. 20301–20306).